MULTICAST CONGESTION CONTROL SUPPORT IN ROCE V2

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Multicast Congestion Control support in RoceV2

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Roce V2 Unicast Congestion Control

Set CE Marker

Congestion Notification Packet

Switch
ECN / CE Signalling
A17.3.1.1.3 / 17.9.3 specifies the use of the ECN field to indicate congestion in the switch to the receiver. The receiver can then send CNP packets to slow down the sender. Support for ECN / CE is optional (CA17-5).

CNP packets slow down senders
A receiver sends CNPs to the individual sender if the CE flag is set in the header (CA17-45, CA17-44). Switches have advanced strategies to mark packet more or less frequently depending on the level of congestion to trigger CNPs.

Link-Layer Flow-Control IEEE802.1Qbb
Roce may also use Link Layer flow control (Pause Frames, PFC) in addition to CNP packets. This is a defined flow control method at the Ethernet Layer that ROCE packets can make use of. The sending rate on the one side of a cable is slowed down to mitigate network congestion.

Lossless operations A17.9.1.
ROCE handling should be lossless. This is in practice realized in the following way:
1. CNP packets to slow down the sender
2. Activation of Link Layer Flow control if CNPs are not sufficient.
Multicast: Magic in Networking

Multicast Address 239.1.2.3
Multicast: IP addresses that one can subscribe to and that can reach multiple recipients with one datagram

Multicast is a widely used standard to implement a publish/subscribe mechanism which has been available since the 1970s and was widely adopted in particular in the financial services industry in the 1980s (see RFC 1112). It is supported on Ethernet and IP based networks. Multicast is also available on Infiniband (IB Specification Volume 1, 3.5.11) and existing implementations have RDMA APIs to manage Multicast subscriptions (rdma_join_multicast(), rdma_leave_multicast()).

A special IPv4 address range 224.0.0.0 – 239.255.255.255 is reserved for multicast. Subscribers can join by specifying the multicast address and will then receive messages sent with the destination of that multicast address. There are a variety of popular protocols to optimize the management and routing of traffic to multicast recipients like IGMP (RFC 3376), PIM Sparse/Dense (RFC 2362). In Infiniband the subnet manager is responsible to optimize multicast traffic.

The IP multicast range has an associated MAC address range when used in Ethernet (IPv4 = 01-00-5e-xx-xx-xx). A translation of Multicast IP to MAC addresses is easily possible via a simple calculation and preserves the lower bits of the IP address in the MAC address.

In IPv6 a prefix is reserved for multicast (0xFF00::/8). Infiniband adopts the IPv6 conventions for the specification of multicast groups in the form of a special GID the MGID. MGIDs are associated with MLIDs by the Subnetmanager for routing in the Infiniband switches. Infiniband switches are then able to do cut-through of a single incoming datagram message to multiple output ports. This process is typically resulting in packet delivery in under a microsecond to a large number of end points. The rapid event publication via this mechanism is one of the reasons for the use of RDMA in the financial services industry.
Current Handling of Multicast Congestion

Switch

Congestion Notification Packet

Set CE Marker

Loss

Loss

Loss
Current handling of Roce V2 multicast traffic

- Link Level flow control is possible if the switch supports in (one known vendor). PFC frames will slow down all traffic on the link. Otherwise traffic will be dropped.

- CE bit is not set by the switches if links become congested since switches do not support congestion control for Multicast.

- Therefore the NICs do not send CNPs.

- Traffic on the link does not slow down.

- Massive packet loss is possible which leads to unrecoverable failure of applications expecting reliable multicast solutions as provided by middleware vendors.

- Multicast based RDMA applications work reliably on Infiniband but not on ROCE.

- This is contrary of A17.9.1 which states that ROCE v2 handling should be lossless. However, that statement seems to be only be true for unicast traffic. Annex 17 does not make a distinction between multicast and unicast and does not discuss the issues related to multicast congestion control.

- Apart from one vendor’s support for Multicast Flow Control, currently available switches have no option of making ROCE multicast reliable. The one vendor does not support CNPs so all traffic coming from an interface has to be throttled instead of only the stream that causes the overload.
Proposal on how to manage Multicast Congestion

Switch

Set CE Marker on Multicast Packet

Congestion Notification Packet
Multicast Congestion Control / Testing of Multicast?

A17.3.1.1.3 ECN

- Add the following paragraph:
  - Note that the use of ECN/Congestion management is not envisioned to be restricted to unicast packets. RFC 3168 is considering the use of ECN also for multicast. Multicast packet congestion can be treated in the same way as unicast traffic. For additional considerations see Section 17.9.3.

A17.9.3

- Add the following paragraph:
  - Note that congestion control through ECN or Flow-Control may be implemented for multicast packets so that reliable multicast is possible like under Infiniband. For the purpose of congestion control the multicast address is treated as a single destination like a regular unicast address. Therefore, congestion on the path to a single receiver of the multicast group may slow down the reception of traffic for all receivers (and then be compatible with the way Infiniband handles congestion).
  - Switches and NICs typically allow a fine grained QoS configuration which allows customization of congestion handling. This customization is possible using the existing QoS configuration API that is familiar to network administrators if ECN handling and Ethernet Flow Control is not restricted to unicast packets by the switches and NICs.
IETF Standards and marking multicast traffic with the CE bits
IETF Handling of ECN through CE

According to A17.9.3 Congestion management relies on “the mechanism defined in“ RFC3168 (ECN). RFC 3168 is primarily concerned with defining a congestion control mechanism for the TCP protocol by allowing detection of congestion before queues overflow. ECN is relying on the transport protocol (Section 6) to allow a reaction to packets that have encountered congestion. The receiver notices that the CE bits are set and performs a transport specific reaction to reduce congestion in the fabric.

RFC 3168 envisions other transport protocols to be using this mechanism mentioning among others “unreliable and reliable multicast transport protocols” (Section 6).

ROCE V2 is such a transport protocol where the IBTA has defined congestion control mechanism through the congestion notifications packets (CNP). These have been so far be seen as restricted to unicast. It looks like it is within the intended scope of RFC 3168 if the use of CNP would be extended to cover multicast as well.

The IBTA spec does not restrict the use of CNPs to unicast. However, the actual implementations by the hardware vendors have so far restricted the use of CNPs to unicast.
CNP unicast as a result of ROCE multicast packets with CE set
CNP replies as a result of receiving Multicast Packets marked with CE

A17.9.3 states:

**CA17-44:** If RoCEv2 Congestion Management is supported, upon receiving a valid RoCEv2 packet with a value of ’11 in its IP.ECN field the HCA shall generate a RoCEv2 CNP formatted as shown in Figure 360 on page 2000 directed to the source of the received packet. The HCA may choose to send a single CNP for multiple such ECN marked packets on a given QP.

So far, the CNPs are only sent as a result of receiving unicast ROCE v2 packets. The use of this mechanism when receiving a multicast packet is straightforward. A unicast CNP packet is sent when a multicast packet with the CE bits set is detected. The destination is the sender of the multicast traffic which is the source address of the multicast packet (which is a unicast address).

The sender will then receive the unicast CNP packet and moderate the output of the multicast QP to reduce congestion on the fabric.
CNP Flooding Dangers due to sending multicast with CE bit set
CNP Flooding concerns

Multicast replication can result in single packets marked with the CE bit arriving at multiple endpoints which may all send CNP responses to the sender. It is therefore advisable to be able to limit the number of CNPs in a certain time interval. This has already been an issue for unicast and therefore these throttling mechanisms already exist at the sender/receiver as well as the switches in the hardware known to the author. These are currently not used for multicast. There are 3 points the network where these flooding issues could be handled:

1. The receiver may receive too frequent CE packets and respond to reach with CNP. These issues have already been observed with unicast and receivers limit the number of responses to CE packets already. Switches have the ability to control the probabilities of packets being marked with CE already.

2. Switches may receive too many CNPs. The switch can reduce the number of packets marked as CE to reduce CNPs to a desirable rate. The rate and logic of marking multicast packets as CE may differ from unicast packets and that may require switch vendors to introduce new tuneable parameters. Switches may use their knowledge of multicast replication trees to provide advanced means of congestion control.

3. Sender may receive too many CNPs. The sender may limit the number of CNPs that it reacts to in a given timeframe. It seems that there are already heuristics in place to avoid excessive throttling of senders for unicast.
Flow Control for Multicast

- Pause Frame (PFC)
- Data packets on wire
- Switch
Multicast and IEEE 802.1Qbb (Flow Control via PFC / Pause)

IEEE 802.1Qbb considers any traffic between the two endpoints of a link. The standard is therefore not concerned with unicast versus multicast. The PFC frame itself is a multicast frame through. However, that special frame is only used for communication between the two endpoints of the link. The PFC frame is never forwarded to other ports of the switch. The standard establishes priority classes for PFC support (defined in IEEE 802.1Q) and allows the slowing down of senders for packets of a particular category.

This means that if unicast and multicast packets are treated the same then the mechanism works for either type of packet.

This is the case for switches from Nvidia. However, Cisco switches do not apply priorities to multicast traffic. Cisco has build a special queue for multicast traffic in which all multicast traffic accumulates bypassing the queues for the traffic classes. There are no provisions for congestion support for this special queue. This then defeats the mechanism envisioned in IEEE 802.1Qbb. Cisco switches not send PFC frames if multicast traffic is congested.

RDMA NICs maybe configured send PFCs to the switch if the applications are slow in picking up the traffic. This does work with the Cisco switches resulting in traffic to accumulate on the switches. The Cisco switch will have to drop packets when too many packets accumulate since it is not able to send PFCs to the sender of the multicast traffic.
Test status RoceV2 congestion control
## Known switch Support for Congestion Management in ROCE V2

<table>
<thead>
<tr>
<th>Feature</th>
<th>Unicast PFC</th>
<th>Unicast CNP</th>
<th>Multicast PFC</th>
<th>Multicast CNP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cisco</td>
<td>Yes</td>
<td>Yes</td>
<td>Does not allow classification of multicast traffic into IEEE802.1Q priority classes.</td>
<td>Tests fail. “Some ASICs can’t do it.”</td>
</tr>
<tr>
<td>Nvidia</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Tests fail. Working on getting this implemented.</td>
</tr>
<tr>
<td>Arista</td>
<td>TBD</td>
<td>TBD</td>
<td>“Should work” (David Snowdon)</td>
<td>TBD</td>
</tr>
</tbody>
</table>
## Known NIC Support for ROCE v2 Multicast

<table>
<thead>
<tr>
<th>Feature</th>
<th>Receive</th>
<th>Loopback Send</th>
<th>Remote Send</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nvidia</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Intel</td>
<td>Yes</td>
<td>Yes</td>
<td>Patch for testing against upstream drivers exist to enable multicast send</td>
</tr>
<tr>
<td>Broadcom</td>
<td>No MC support in Linux source tree</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>
Test tools and methods
We experienced some issues with the standard Infiniband testing tools (**ib_send_XX**) typically used for testing RDMA packet flow:

1. Multicast join and leave operations are not implemented in many tools.
2. In ROCE v2 mode the testing tools fall back to *broadcasting* on an IPv6 address instead of performing multicast.
3. Multicast through rdma_cm libraries (as used by middleware applications) is *not supported* by most tools.

In order to have some tools that work with the RDMACM libraries we wrote some tools called **mclisten** and **mcsender**. These are simple to operate and provide a way to test in the same way that our middleware operates with the RDMA APIs.

These tools are available as a upcoming contribution to the rdma-core package on github. See [https://github.com/clameter/rdma-core](https://github.com/clameter/rdma-core). Check out the branch ib2roce.
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